

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KENNY, John J.

Serial No.: 10/601,745

Filed: June 23, 2003

For: System and Method for Increasing  
Upstream Communication Efficiency in  
a Optical Network

Examiner: Unknown

Art Unit: 2633

Confirmation No.: 8989

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 3, 2004

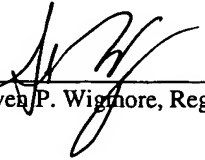
Sir:

The citation of information on the attached Form PTO-1449, "List of Information Disclosed by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98.

The attached Form PTO-1449 list references that were cited in the parent application of U.S. Serial No. 09/899,410. The present application claims priority to the parent application under 35 U.S.C. 120 and therefore, copies of the references are not enclosed in accordance with 37 C.F.R. §1.98(d).

In addition, no fees are believed to be due for entry of this Supplemental Information Disclosure Statement as it is being submitted before the mailing of a first Office Action on the merits of the subject application.


I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 3, 2004.

  
Steven P. Wigmore, Reg. No. 40,447

If necessary, the Commissioner is authorized to charge Deposit Account No. 11-0980 for any additional fees that may be due in connection with this submission.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

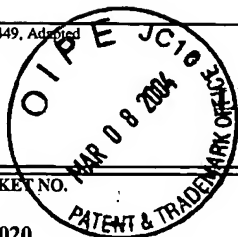
Respectfully submitted,



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K&S Docket: 08286.105020

FORM PTO-1449, Ad-vised

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

|   |                                 |                                     |
|---|---------------------------------|-------------------------------------|
| ATTY. DOCKET NO.<br><b>08286.105020</b> | SERIAL NO.<br><b>10/601,745</b> | FILING DATE<br><b>June 23, 2003</b> |
| APPLICANT<br><b>John J. Kenny</b>       |                                 | GROUP<br><b>2633</b>                |

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE     | NAME          | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----------------|----------|---------------|-------|----------|-------------------------------|
| AE                  | 20020089725     | 07/11/02 | Farmer et al. |       |          |                               |
|                     |                 |          |               |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

| DOCUMENT NUMBER | DATE | COUNTRY | NAME | TRANSLATION<br>YES NO. |  |
|-----------------|------|---------|------|------------------------|--|
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**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

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FORM PTO-1449, Adapted

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|                     | AA | US 5,541,917    | 07/30/96 | FARRIS, Robert D.            |       |          |                               |
|                     | AB | US 5,969,836    | 10/19/99 | FOLTZER, Lawrence E.         |       |          |                               |
|                     | AC | US 5,572,347    | 11/05/96 | BURTON, Larry W. et al.      |       |          |                               |
|                     | AD | US 5,365,588    | 11/15/94 | BIANCO, Mark E. et al.       |       |          |                               |
|                     | AE | US 4,956,863    | 09/11/90 | GOSS, Kenneth C.             |       |          |                               |
|                     | AF | US 5,253,250    | 10/12/93 | SCHLAFFER, John et al.       |       |          |                               |
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|                     | AJ | US 6,674,967    | 01/06/04 | SKROBKO, John et al.         |       |          |                               |
|                     | AK | US 6,483,635    | 11/19/02 | WACH, Michael L.             |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

|  |    | DOCUMENT NUMBER | DATE     | COUNTRY | NAME             | TRANSLATION |     |
|--|----|-----------------|----------|---------|------------------|-------------|-----|
|  |    |                 |          |         |                  | YES         | NO. |
|  | AQ | EP 0955739 A2   | 11/10/99 | Europe  | NEC Corporation  |             |     |
|  | AR | EP 0713347 A2   | 05/22/96 | Europe  | AT&T Corporation |             |     |

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| AH                  | 5,572,349       | 11/05/96 | Hale et al. |       |          |                               |

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| CA | BOURNE, John et al., "Heathrow - Experience and Evolution" IEEE, 1990, pages 1091-1095.   |
| CB | MIKI, Tetsuya et al., "A Design Concept on Fiber-Optic Wavelength-Division-Multiplexing Subscriber Loop System" WTG - Fachber, 1980, pages 41-45.   |
| CC | YAMAGUCHI, K. et al., "A Broadband Access Network Based on Optical Signal Processing: The Photonic Highway" IEEE, 1990, pages 1030-1037.  |
| CD | International Search Report of July 7, 2003 for PCT/US01/51350  |
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| CF | International Search Report of July 2, 2003 for PCT/US03/07814  |
| CG | International Search Report of October 3, 2003 for PCT/US03/12231   |
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| CI | GLAESEMANN, G. Scott et al., "The Mechanical Reliability of Corning® Optical Fiber in Bending White Paper", September 2002, pages 1-4                                       |
| CJ | Corning® SMF-28™ Optical Fiber Product Information, "Corning® Single-Mode Optical Fiber", April 2002, pages 1-4.  |
| CK | Companie Deutsch, Components for Fiber Optics, "Triplexers - WDM: FSAN - TPM Series", pages 1-6.  |
| CL | CEDaily Direct News, "Today's Report", March 19, 2001, pages 1-5.   |
| CM | Lucent Technologies, "Lucent Technologies Introduces Single-Fiber Network Access Devices for Voice, Video, and Data Services to the Home or Curb", June 6, 2000, pages 1-2. |
| CN | Lucent Technologies, "Agere Systems Introduces Single-Fiber Network Access Devices for Voice, Video and Data Services to the Home or Curb", March 19, 2001, pages 1-3.      |
| CL | Optical Networks Daily, a Publication of Optical Keyhole, August 26, 2003, pages 1-13.  |

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|                     | AA | US 6,041,056    | 03/21/00 | BIGHAM, John A. et al.    |       |          |                               |
|                     | AB | US 5,666,487    | 09/09/97 | GOODMAN, William et al.   |       |          |                               |
|                     | AC | US 5,557,317    | 09/17/96 | NISHIO, Makoto et al.     |       |          |                               |
|                     | AD | US 5,534,912    | 07/09/96 | KOSTRESKI, Bruce W.       |       |          |                               |
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|                     | AF | US 6,097,515    | 08/01/00 | POMP, Steve et al.        |       |          |                               |
|                     | AG | US RE 35,774    | 04/21/98 | MOURA, Eduardo J. et al.  |       |          |                               |

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